



AUG 0 2 2001

TECH CENTER 1600 2900 sheet 1 of 4

SUBSTITUTE (MODIFIED)	FORM PTO-1449		TMENT OF COMMERCE D TRADEMARK OFFICE	Attorney D	ocke	t No.	007	86/31	7003	
			Serial No.			09/7	09/757,309			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Applicant			Bre	Brent et al.			
(Use several sheets if necessary)					Filing Date			January 9, 2001		
(37 CFR §1.98(b))					Group			1 1845 -1637		
					Mailed			July 26, 2001		
	.		U.S. PATENTS							
Examiner's Initials	Patent Number	Issue Date	Patentee		Cla	ass	Subc	lass	Filing Date (If Appropriate)	
TS.	4,833,080	05/23/89	Brent et al.							
	4,980,281	12/25/90	Housey							
i	5,270,181	12/14/93	McCoy et al.							
,	, 5,283,173	02/01/94	Fields et al.							
	5,525,490	06/11/96	Erickson et al.							
Ts -	5,589,362	12/31/96	Bujard et al.					i		
	FOREIGN	N PATENT OF	R PUBLISHED FOREIG	N PATENT	APF	PLICA	ATION			
	Document Number	Publication Date	Country or Patent Office		C!	ass	Subc	lass	Translation (Yes/No)	
15.	WO 96/32503	10/17/96	PCT		-					
	THER DOCUME	ENTS (INCLUI	DING AUTHOR, TITLE,	DATE, PLA	\CE	OF F	UBLI	CATIO	ON)	
ī,S,	Berger et al., C	ell 70:251-26	5 (1992)							
_	Bishop, <i>Science</i> 235:305-311 (1987)									
,	Brent et al., <i>Cell</i> 43:729-736 (1985)									
/	Brent et al., Na	ture 312:612-	615, (1984)							
	Broach et al., G	Gene 8:121-12	23, (1979)							
	Celenza et al.,	Mol. Cell Biol.	9:5034-5044 (1989)							
75 -	Celenza et al.,	Mol. Cell Biol.	9:5045-5054 (1989)							
EXAMINER	Tereson 3	tanelle c	CIC; DATE CO	NSIDERED		υŦ	171	4/	ンと	
	nitial citation consid- lext communication		e through citation if not in co	onformance	and r	not co	nsidere	ed. In	clude copy of this	



RECEIVED

AUG 0 2 2001

Sheet 2 of 4 TECH CENTER 1600/2900

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE	Attorney Docket No.	00786/317003					
(MODIFIED) PATENT AND TRADEMARK OFFICE	Serial No.	09/757,309					
INFORMATION DISCLOSURE	Applicant	Brent et al.					
STATEMENT BY APPLICANT (Use several sheets if necessary)	Filing Date	January 9, 2001					
(37 CFR §1.98(b))	Group	1645-1637					
	Mailed	July 26, 2001					
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE	, DATE, PLACE OF P	UBLICATION)					
T Celenza et al., Science 233:1175-1180 (1986)							
Chakraborty et al., <i>J. Biol Chem.</i> 267:17498-17501	(1992)						
Chien et al., Proc. Natl. Acad. Sci. USA 88:9578-95	Chien et al., <i>Proc. Natl. Acad. Sci. USA</i> 88:9578-9582 (1991)						
Curran et al., Cell 55:395-397 (1988)	Curran et al., Cell 55:395-397 (1988)						
Dalton and Treisman, Cell 68:597-612 (1992)	Dalton and Treisman, <i>Cell</i> 68:597-612 (1992)						
`→ Dang et al., <i>Mol. Cell Bio</i> . 11:954-962 (1991)	Dang et al., <i>Mol. Cell Bio.</i> 11:954-962 (1991)						
Draetta, Trends in Biochem. Sci. 15:378-382 (1990	Draetta, Trends in Biochem. Sci. 15:378-382 (1990)						
Fearon et al., Proc. Natl. Acad. Sci. USA 89:7958-7	Fearon et al., <i>Proc. Natl. Acad. Sci. USA</i> 89:7958-7962 (1992)						
Fields and Song, <i>Nature</i> 340:245-246 (1989)	Fields and Song, <i>Nature</i> 340:245-246 (1989)						
Fields et al., Trends in Genetics 10:286-292 (1994)							
Finkel et al., The Journal of Biological Chemistry 26	Finkel et al., The Journal of Biological Chemistry 268:5-8 (1993)						
Furey et al., <i>Science</i> 231:704-707 (1986)	Furey et al., <i>Science</i> 231:704-707 (1986)						
Gill et al., <i>Nature</i> 334:721-724 (1988)	Gill et al., <i>Nature</i> 334:721-724 (1988)						
Gill et al., <i>Cell</i> 51:121-126 (4,4 ℃ 3 ∤)	· Gill et al., <i>Cell</i> 51:121-126 (4よぐる)						
Giniger et al., Cell 40:767-774 (1985)	Giniger et al., Cell 40:767-774 (1985)						
Goff et al., Genes & Development 5:864-875 (1992	Goff et al., Genes & Development 5:864-875 (1992)						
Gyuris et al., Cell 75:791-803 (1993)	Gyuris et al., Cell 75:791-803 (1993)						
Hadwiger et al., Proc. Natl. Acad. Sci. USA 86:6255	5-6259 (1989)						
TS Hansen et al., Cell 53:172-173 (1988)							
EXAMINER TENTIA SINCLECTION DATEC	onsidered of	129100					
EXAMINER: Initial citation considered. Draw line through citation if not in form with the next communication to applicant.	conformance and not cor	nsidered. Include copy of this					

RECEIVED

AUG 0 2 2001

Sheet 3 of 4 TECH CENTER 1600 2900

SUBSTITUTE FORM P 00786/317003 DEPARTMENT OF COMMERCE Attorney Docket No. (MODIFIED) PATENT AND TRADEMARK OFFICE 09/757,309 Serial No. INFORMATION DISCLOSURE Applicant Brent et al. STATEMENT BY APPLICANT Filing Date January 9, 2001 (Use several sheets if necessary) 1645 1857 (37 CFR §1.98(b)) Group July 26, 2001 Mailed OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) 15 Hardy et al., Genes & Development 6:801-814 (1992) Hartley, "Cellular Interaction in Development, A Practical Approach," IRL Press (NY), pp. 153-179 (1994)Harper et al., Cell 75:805-816 (1993) Hope et al., Cell 46:885-894 (1986) Hope et al., Nature 333:635-640 (1988) Hu et al., Science 250:1400-1403 (1990) Johnston, Microbiological Reviews 51:458-476 (1987) Keegan et al., Science 231:699-704 (1986) Koff et al., Cell 66:1217-1228 (1991) Kumar et al., Cell 51:941-951 (1987) Laughon et al., Mol. Cell Bio. 4:260-267 (1984) LaVallie et al., Bio/Technology 11:187-193 (1993) Lech et al., Cell 52:179-184 (1988) LeDouarin et al., Nucleic Acids Research 23:876-878 (1995) Levine et al., Cell 59:405-408 (1989) / Ma et al., Cell 55:443-446 (1988) Ma et al., Cell 51:113-119 (1987) Ma et al., Cell 48:847-853 (1987) 'Martin et al., Mol. Cell Bio. 10:1908-1914 (1990) McKnight et al., Proc. Natl. Acad. Sci. USA 84:7061-7065 (1987) 07/24/02 DATE CONSIDERED **EXAMINER** Tarry Finelection EXAMINER; Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this

form with the next communication to applicant.

Sheet 4 of 4

	\ <u>\</u>			-				
UBSTITUTE MODIFIED)	FORM PTO-12 LESS DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket No.	00786/317003 DEC	LN/F-				
וטטורובט)	PATENT AND TRADEMARK OFFICE	Serial No.	09/757,309 REC	EIVE				
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant	Brent et al. AUG	0 2 2001				
	(Use several sheets if necessary)	Filing Date	January 9, 2001					
7 CFR §1.98	3(b))	Group	TECH CENT	EH 1600 29				
		Mailed	July 26, 2001	_				
(OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE,	, DATE, PLACE OF PI	UBLICATION)					
75 1	Meyerson et al., The EMBO Journal 11:2909-2917 ((1992)						
	∕Pines et al., <i>Cell</i> 59:833-846 (1989)							
	Reddy et al., Proc. Natl. Acad. Sci. USA 80:2500-25	04 (1983)						
	Richardson et al., <i>Cell</i> 59:1127-1133 (1989)							
`~	Richardson et al., Genes & Development 4:1332-1344 (1990)							
1	Shih et al., <i>Proc. Natl. Acad. Sci. USA</i> 93:13896-13901 (1996)							
-	Silver et al., <i>Proc. Natl. Acad. Sci. USA</i> 81:5951-5955 (1984)							
	Struhl, <i>Annu. Rev. Biochem</i> 58:1051-1077 (1989)							
	Struhl, <i>Nature</i> 332:649-650 (1988)			1				
<u>.</u>	Thukral et al., <i>Mol. Cell Biol.</i> 9:2360-2369 (1989)			1				
$\overline{}$	Touchette, <i>The Journal of NIH Research</i> 3:44-46 (19	Touchette, The Journal of NIH Research 3:44-46 (1991)						
	Tsai et al., <i>Nature</i> 353:174-177 (1991)	Tsai et al., Nature 353:174-177 (1991)						
	Van Beveren et al., <i>Cell</i> 32:1241-1255 (1983)			1				
	Vidal et al., Proc. Natl. Acad Sci. USA 93:10315-103	320 (1996)		1				
•	Wittekind et al., <i>Mol. Cell Bio.</i> 8:3997-4008 (1988)							
ı	Wittenberg et al., Cell 54:1061-1072 (1988)			1				
J	Wittenberg et al., Cell 62:225-237 (1990) Wittenberg et al., Molecular and Cellular Biology 9:4064-4068 (1989)							
	Xiong et al., <i>Cell</i> 65:691-699 (1991)							
	Xu et al., <i>Proc. Natl. Acad. Sci. USA</i> 94:12473-12478 (1997)							
1	Yang et al., <i>Science</i> 257 680-682 (1992)							
75	Zervos et al., <i>Cell</i> 72:223-232 (1993)							
KAMINER 7	Tereso Sinuleiro DATECO	ONSIDERED 07/	174102					